Sector/#
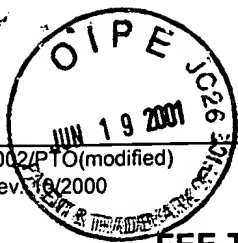
PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/754,650
TRANSMITTAL FORM *(to be used for all correspondence during pendency of filed application)*		Filing Date	January 3, 2001
		First Named Inventor	Eric E. Del Sesto
		Group Art Unit Number	2152
		Examiner Name	Unknown
Total Number of Pages in This Submission	14	Attorney Docket Number	19502-04558

ENCLOSURES *(check all that apply)*

- ☑ Fee Transmittal Form (in duplicate)
  - ☑ Check Enclosed
- ☑ Return Receipt Postcard
- ☑ Response to Notice to File Missing Parts
- ☑ Assignment & Recordation Cover Sheet
- ☑ Declaration
- ☐ Small Entity Statement
- ☐ Information Disclosure Statement & PTO-1449
  - ☐ Copies of IDS Cited References
- ☐ Request to Withdraw as Attorneys (in triplicate)
- ☐ Request for Correction of Recorded Assignment
- ☐ Amendment/Response: [   ] Page(s)
  - ☐ After Final
- ☐ Status Request
- ☐ Revocation and Power of Attorney

- ☐ Issue Fee Transmittal
- ☐ Letter to Chief Draftsperson
- ☐ Formal Drawing(s):
  - [ ] Sheet(s) of Figure(s) [ ]
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- ☐ Certified Copy of Priority Document(s)
- ☐ After Allowance Communication to Group
- ☑ Copy of Formalites Letter
- ☐ Copy of executed declaration
- ☐ _____
- ☐ _____
- ☐ _____

REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

Signature:	Robert R Sachs		
Attorney/Reg. No.:	Robert R. Sachs, Registration No. 42,120	Dated:	June 15, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231 on the date shown below. If the Express Mail Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	Robert R Sachs		
Typed or Printed Name:	Robert R. Sachs	Dated:	June 15, 2001
Express Mail Mailing Number *(optional)*:			

A9000/00000/SF/5016702.1

0002/PTO(modified)
Rev.10/2000

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **($) 1,876**

Complete if Known	
Application Number	09/754,650
Filing Date	January 3, 2001
First Named Inventor	Eric E. Del Sesto
Group Art Unit	2152
Examiner Name	Unknown
Attorney Docket Number	19502-04558

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

[ ] Charge the indicated fees to the below mentioned deposit account.

[X] Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †

[ ] Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. [X] Payment Enclosed:
[X] Check   [ ] Credit Card   [ ] Other

FEE CALCULATION (fees effective 10/01/2000)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/$710	201/$355	Utility Filing	710
106/$320	206/$160	Design Filing	
108/$710	208/$355	Reissue	
114/$150	214/$75	Provisional Filing	

SUBTOTAL (1)   ($) 710

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/$18	203/$9	Claims in excess of 20
102/$80	202/$40	Independent claims in excess of 3
104/$270	204/$135	Multiple dependent claim
109/$80	209/$40	Reissue independent claims over original patent
110/$18	210/$9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/$130	205/$65	Surcharge - late filing fee or oath	130
127/$50	227/$25	Surcharge-late provisional filing fee or cover sheet	
147/$2,520	147/$2,520	For filing a request for reexamination	
115/$110	215/$55	Extension for response within first month†	
116/$390	216/$195	Extension for response within second month†	390
117/$890	217/$445	Extension for response within third month†	
118/$1,390	218/$695	Extension for response within fourth month†	
128/$1,890	228/$945	Extension for response within fifth month†	
119/$310	219/$155	Notice of Appeal	
141/$1,240	241/$620	Petition to revive unintentionally abandoned application	
142/$1,240	242/$620	Utility Issue Fee (Or Reissue)	
143/$440	243/$220	Design Issue Fee	
122/$130	122/$130	Petitions to the Commissioner	
126/$180	126/$180	Submission of Information Disclosure Statement	
179/$710	279/$355	Request for Continued Examination (RCE)	
581/$40	581/$40	Recording each patent assignment per property (times number of properties)	40
146/$710	246/$355	Filing a submission after final rejection (37 CFR 1.129(a))	
149/$710	249/$355	For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify): 37 CFR 1.20(d) Terminal disclaimer fee	
		Other fee (specify):	

SUBTOTAL (3)   ($) 560

	(Col. 1) No. of Existing Claims		(Col. 2) Highest No. Previously Paid For		(Col. 3) Extra**		Fee		Fee Due
TOTAL	27	minus*	20	or =	7	x	18	=	126
INDEP	9	minus*	3	or =	6	x	80	=	480
[ ] First presentation of multiple dependent claim								=	

* Subtract the greater number of Col. 2
** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2)   ($) 606

SUBMITTED BY

Typed or Printed Name	**Robert R. Sachs**
Signature	

Complete (if applicable)	
Reg. Number	**42,120**
Date	6/15/01

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby
Rev. 09/30/2000

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/754,650	01/03/2001	Eric E. Del Sesto	19502-04558

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

CONFIRMATION NO. 2979
FORMALITIES LETTER

OC000000005774580

Date Mailed: 02/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
  Applicant must submit $ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is $606.
  - $126 for 7 total claims over 20.
  - $480 for 6 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.

- **The balance due by applicant is $ 1446.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice __MUST__ be returned with the reply.

ER

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS:	Eric E. Del Sesto, et al.
SERIAL NO.:	09/754,650
FILING DATE:	January 3, 2001
TITLE:	Ensuring Reliable Delivery of Interactive Content
EXAMINER:	Unknown
GROUP ART UNIT:	2152
ATTY. DKT. NO.:	19502-04558

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Box Missing Parts, Washington, D.C. 20231, on the date shown below:

Dated: _____6 15 01_____   By: _____

Robert R. Sachs, Reg. No.: 42,120

BOX MISSING PARTS
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR: -

Responsive to the Formalities Letter dated February 16, 2001 received in the above-identified patent application, enclosed are:

    ☑ a copy of the Notice to File Missing Parts;

    ☑ an original, signed Declaration;

    ☑ Assignment and PTO-1595;

06/21/2001 MBERHE   00000062 09754650

05 FC:116   390.00 OP

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☑ Other: Check in the amount of totaling $1,876, to cover the application filing fees, the missing parts surcharge, assignment recordation and a two-month extension of time.

Respectfully submitted,
ERIC E. DEL SESTO

Dated: _6 15 ?1_

By: _Robert R. Sachs_

Robert R. Sachs, Reg. No.: 42,120
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2410
Fax.: (415) 281-1350

19502/04558/SF/5051686.1

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